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(54) Optical hybrid for coherent detection systems

Optisches Hybrid für kohärente Empfangssysteme Hybride optique pour des systèmes de détection cohérents

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#### Description

#### Technical Field

The present invention relates to an optical hybrid for coherent detection systems and, more particularly, to an optical hybrid which utilizes only one polarization beam splitter and one polarization maintaining coupler to achieve polarization independent operation.

# Description of the Prior Art

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Coherent optical lightwave detection systems have been extensively described in the literature. Such systems offer nearly ideal detection sensitivity, as well as selectivity similar to that obtained at radio frequencies. In coherent lightwave systems which use heterodyne/homodyne techniques, the polarization state of the local oscillator must be matched to the polarization state of the incoming transmitted signal in order to achieve accurate recovery of the data. Any departure from polarization matching will result in degradation of the system performance. One solution to the problem of polarization matching is the utilization of a polarization diversity receiver arrangement which insures correct operation of the system despite any fluctuations in the polarization state of the received data signal. In general, a polarization diversity arrangement functions to split both signals into known, orthogonal polarization states and separately manipulate each orthogonal component.

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Several variations of polarization diversity schemes have been proposed and demonstrated. One particular prior art scheme utilizes an optical hybrid including a single beam splitting cube and a set of three optical couplers to provide the required orthogonal signal components. A description of this particular arrangement is contained in an article entitled "Polarization diversity coherent optical receiver with a balanced receiver configuration", by M. Shibutani et al., appearing in the Proceedings of the ECOC 88, September 1988, at pp. 151-3. In the Shibutani et al. arrangement, the message signal components, after polarization separation, are mixed with the local oscillator in a 3dB fiber coupler. The local oscillator signal is divided equally by a 3dB coupler and the polarization state of each local oscillator component is manipulated, using polarization adjusters, to match the polarization state of its paired message signal component. However, since the polarization state of each signal component is subject to drift, the polarization adjusters must be continuously monitored to insure optimum system performance. Another polarization diversity scheme is shown in EP-A-0 247 738.

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Therefore, a need remains in the prior art for a coherent lightwave detection system which is truly polarization independent and requires a minimum number of sensitive components.

# Summary of the invention

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The need remaining in the prior art is addressed by the present invention which relates to an optical hybrid for coherent detection systems and, more particularly, to an optical hybrid which utilizes only one polarization beam splitter and one polarization maintaining coupler to achieve polarization independent operation.

In accordance with a particular embodiment of the present invention, the incoming message signal and local oscillator are applied as orthogonal inputs to a polarization beam splitter. The polarization beam splitter functions to separate each signal into orthogonal components. In particular, message signal  $E_M(t)$  is divided into components  $E_{MH}(t)$  and  $E_{MV}(t)$ , where the designation "H" refers to "horizontal" polarization and the designation "V" refers to "vertical" polarization. The local oscillator is similarly split. Since the two signals are applied as orthogonal inputs to the splitter, the pair of outputs from the splitter contain mutually orthogonal components. That is, a first output  $E_1(t)$  contains both a horizontal and a vertical component (e.g.,  $E_1(t) = E_{MH}(t) + jE_{LV}(t)$ ). The second output  $E_2(t)$  from the splitter then contains the remaining components  $E_{MV}(t)$  and  $E_{LH}(t)$ . The pair of outputs from the splitter are subsequently applied as inputs to a polarization maintaining coupler which functions to sum the components and provide as the optical hybrid output a pair of combined optical signals, denoted  $E_3(t)$  and  $E_4(t)$ , which are orthogonal vector sums of the polarization beam splitter first and second outputs. In particular, polarization maintaining coupler 18 functions according to the following relations:

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$$E_3(t) = \frac{E_1(t) + jE_2(t)}{\sqrt{2}}$$

and

$$E_4(t) = \frac{E_2(t) + jE_1(t)}{\sqrt{2}}.$$

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The pair of combined optical signals are then applied as inputs to a balanced receiver which functions to convert the optical signals into electrical representations and demodulate the electrical signals to recover the transmitted data from

the received message signal E<sub>M</sub>(t).

In one embodiment of the present invention, bulk optics may be used to form the hybrid. In particular, a polarization beam splitting cube may be used to receive the message signal and local oscillator, where the fiber carrying the local oscillator is attached to the appropriate cube face at an angle (for example, 45°) which provides for essentially equal power splitting of the local oscillator between the two output polarization states. The polarization maintaining coupler may comprise a lithium niobate-based device, with polarization maintaining optical fibers used to interconnect the beam splitting cube and coupler, as well as connecting the coupler output to the balanced receiver input.

In an alternative embodiment, the hybrid may be formed as a monolithic unit on a single substrate. In particular, the polarization beam splitter and polarization maintaining coupler may be formed as integrated components within an optical substrate. Polarization maintaining integrated optical waveguides formed in the substrate may be used to interconnect the devices. In one arrangement, the balanced receiver may be incorporated in the same substrate. Alternatively, the receiver may be formed on a separate substrate with polarization maintaining waveguides used to provide interconnection between the hybrid and the receiver.

Other and further advantages of the present invention will be apparent during the course of the following discussion and by reference to the accompanying drawing.

## **Brief Description of the Drawing**

The sole FIGURE illustrates an exemplary coherent detection system utilizing an optical hybrid formed in accordance with the present invention.

## **Detailed Description**

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Referring to the sole FIGURE, an exemplary coherent detection arrangement 10 is shown as including an exemplary optical hybrid 12 of the present invention and a balanced receiver 14 coupled thereto. As shown, optical hybrid 12 comprises a polarization beam splitter 16 and a polarization maintaining coupler 18 interconnected by a pair of polarization maintaining waveguides 20,22. A second pair of polarization maintaining waveguides 24,26 are used to connection the outputs of polarization maintaining coupler 18 (also defined as the output of hybrid 12) to the input of balanced receiver 14. As will be discussed in detail below, optical hybrid 10 may be formed with discrete devices, as a single monolithic structure, or with a mixture of both discrete and integrated components.

In operation, the received message signal  $E_M(t)$  and local oscillator  $E_{LO}(t)$  are applied as orthogonal inputs to polarization beam splitter 16. For the sake of the present discussion, message signal  $E_M(t)$  is presumed to be a DPSK signal which can be expressed as follows:

$$E_{M}(t) = M(t) \sqrt{2P_{M}} \cos(\omega_{M} t),$$

where M(t) represents the DPSK modulation signal having values of either +1 (for a logic "1") or -1 (for a logic "0"). The term  $P_M$  is defined as the message signal power and  $\omega_M$  is defined as the carrier frequency. It is to be noted that throughout this discussion any phase noise terms will be ignored, for the sake of simplicity. It can be shown that such terms do not affect the polarization independent operation of the hybrid of the present invention. Similarly, the local oscillator signal  $E_{LO}(t)$  an be expressed as:

$$E_{LO}(t) = \sqrt{2P_{LO}} \cos(\omega_{LO}t)$$

where  $P_{LO}$  is defined as the local oscillator signal power and  $\omega_{LO}$  is the local oscillator carrier frequency.

Referring to the FIGURE, polarization beam splitter 16 functions to split the signals applied thereto into first and second components of orthogonal polarization states (hereinafter referred to as "vertical" (V) and "horizontal" (H) polarization states). When performing the polarization beam splitting on message signal  $E_M(t)$ , polarization beam splitter 16 divides the power  $P_M$  of signal  $E_M(t)$  into orthogonal components represented by:

$$P_{M} = \chi^{2} P_{M} \hat{H} + (1 - \chi^{2}) P_{M} \hat{V},$$

where  $\chi^2$  represents the portions of message signal  $E_M(t)$  which is of the horizontal polarization state and the term  $(1-\chi^2)$  represents the remaining portion of vertical polarization (where  $0 \le \chi \le 1$ , and  $\chi$  may vary as a function of time). The message signal portions of the output from polarization beam splitter 16 may then be defined as:

$$E_{MH}(t) = M(t) \sqrt{2(1-\chi^2)P_M} \cos[\omega_M t + \theta_1] \hat{H},$$

and

$$E_{MV}(t) = M(t) \sqrt{2\chi^2 P_M} \cos[\omega_M t + \theta_2] \hat{V},$$

where  $\theta_1$  and  $\theta_2$  are slowly varying phase signals which depend upon the polarization state of the message signal.

In accordance with the teachings of the present invention, local oscillator E<sub>LO</sub>(t) is applied as an input to polarization beam splitter 16 with a fixed, linear polarization such that the resultant output signals launched into waveguides 20,22 will have essentially equal power levels. In general, therefore, the local oscillator may be defined as:

$$\mathsf{E}_{\mathsf{LO}}(\mathsf{t}) = \mathsf{E}_{\mathsf{LH}}(\mathsf{t}) + \mathsf{E}_{\mathsf{LV}}(\mathsf{t}),$$

where

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$$E_{LH}(t) = \sqrt{P_{LO}} \cos[\omega_{LO}t + \theta_{LO}] \hat{H},$$

and

$$\mathsf{E}_{\mathsf{LV}}(\mathsf{t}) = \sqrt{\mathsf{P}_{\mathsf{LO}}} \, \mathsf{cos}[\omega_{\mathsf{LO}}\mathsf{t} + \theta_{\mathsf{LO}}] \, \hat{\mathsf{V}}.$$

where  $\theta_{LO}$  is defined as the arbitrary phase of the local oscillator. For the remainder of the present discussion, it will be assumed that  $\theta_{LO}=0$ .

The outputs signals from polarization beam splitter 16 will be launched into polarization maintaining waveguides 20 and 22, as illustrated in the FIGURE, with the signal propagating along polarization maintaining waveguide 20 defined as  $E_1(t)$  and the signal propagating along polarization maintaining waveguide 22 defined as  $E_2(t)$ . In accordance with the properties of polarization beam splitter 16,  $E_{21}(t)$  and  $E_{2}(t)$  may be defined by the following relations:

$$\mathsf{E}_1\left(t\right) = \mathsf{E}_{\mathsf{MH}}(t) + \mathsf{j} \mathsf{E}_{\mathsf{LV}}(t),$$

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$$E_2(t) = E_{LH}(t) + jE_{MV}(t)$$

Substituting the relations as defined above, signals  $E_1(t)$  and  $E_2(t)$  may be rewritten as follows:

$$E_{1}(t) = M(t) \sqrt{2(1-\chi^{2})P_{M}} \cos[\omega_{M}t + \theta_{1}] \hat{H} + \sqrt{P_{LO}} \sin(\omega_{LO}t) \hat{V},$$

and

$$\mathsf{E}_{2}(\mathsf{t}) = \mathsf{M}\left(\mathsf{t}\right)\sqrt{2\chi^{2}\mathsf{P}_{\mathsf{M}}}\,\,\mathsf{sin}[\omega_{\mathsf{M}}\mathsf{t}\!+\!\theta_{2}]\,\,\mathring{\mathsf{V}}\!+\!\,\sqrt{\mathsf{P}_{\mathsf{LO}}}\,\,\mathsf{cos}(\omega_{\mathsf{LO}}\mathsf{t})\,\,\mathring{\mathsf{H}}.$$

Referring to the FIGURE, signals E<sub>1</sub>(t) and E<sub>2</sub>(t) propagate along polarization maintaining waveguides 20,22 and are subsequently applied as inputs to polarization maintaining coupler 18. In general, polarization maintaining coupler 18 will provide a pair of output signals E<sub>3</sub>(t) and E<sub>4</sub>(t), where

$$E_3(t) = \frac{E_1(t) + jE_2(t)}{\sqrt{2}},$$

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$$E_4(t) = \frac{E_2(t) + jE_1(t)}{\sqrt{2}}.$$

As seen in the FIGURE, signals  $E_3(t)$  and  $E_4(t)$  are launched into polarization maintaining waveguides 24 and 26, respectively, to form the outputs from optical hybrid 12. Assuming the length  $\ell_1$  of the path defined by waveguides 20,24 is essentially equal to the length  $\ell_2$  of the path defined by waveguides 22,26, first output  $E_3(t)$  from hybrid 12 may be expressed as follows:

$$E_3(t) = E_{3H}(t) + E_{3V}(t),$$

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$$\mathsf{E}_{3H}(t) = \frac{[\mathsf{M}(t)\sqrt{2(1-\chi^2)}\mathsf{P}_\mathsf{M}\,\cos(\omega_\mathsf{M}t+\theta_1) + \sqrt{\mathsf{P}_\mathsf{LO}}\,\sin(\omega_\mathsf{LO}t)]\mathring{\mathsf{H}}}{\sqrt{2}}.$$

and

$$\mathsf{E}_{\mathsf{3V}}(\mathsf{t}) = \frac{[-\mathsf{M}(\mathsf{t})\sqrt{2\chi^2\mathsf{P}_{\mathsf{M}}}\cos(\omega_{\mathsf{M}}\mathsf{t} + \theta_2) + \sqrt{\mathsf{P}_{\mathsf{LO}}}\sin(\omega_{\mathsf{LO}}\mathsf{t})]\mathring{\mathsf{V}}}{\sqrt{2}}.$$

Similarly, signal E4(t) can be written as:

$$E_4(t) = E_{4H}(t) + E_{4V}(t)$$

where

$$E_{4H}(t) = \frac{[M(t)\sqrt{2(1-\chi^2)P_M}\sin(\omega_M t + \theta_1) + \sqrt{P_{LO}}\cos(\omega_{LO} t)]\mathring{H}}{\sqrt{2}},$$

and

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$$\mathsf{E}_{\mathsf{4V}} = \frac{[\mathsf{M}(\mathsf{t})\sqrt{2\chi^2}\mathsf{P}_\mathsf{M}\,\sin(\omega_\mathsf{M}\mathsf{t} + \theta_2) \cdot \sqrt{\mathsf{P}_\mathsf{LO}}\,\cos(\omega_\mathsf{LO}\mathsf{t})]\mathring{\mathsf{V}}}{\sqrt{2}}$$

In order to provide recovery of the transmitted data signal, the pair of output optical signals  $E_3(t)$  and  $E_4(t)$  from hybrid 12 are applied as inputs to balanced receiver 14. In particular, signal  $E_3(t)$  is applied as an input to a first photodiode 28 and signal  $E_4(t)$  is applied as to a second photodiode 30. As is wellknown in the art, each photodiode will develop an output current related to the applied input optical signal. In particular, the output current  $I_1(t)$  from first photodiode 28 may be expressed as:

$$I_1(t) = C[E_{3H}^2(t) + E_{3V}^2(t)],$$

where C is defined as the known constant  $\frac{\eta e}{\hbar \omega}$ . Substituting the expressions for  $E_{3H}(t)$  and  $E_{3V}(t)$ , it can be shown that  $I_1(t)$  may be rewritten as follows:

$$I_{1}(t) = C[\frac{P_{LO}}{2} + \frac{P_{M}}{2} + \frac{M(t)}{2}\sqrt{2(1-\chi^{2})P_{M}P_{LO}}\sin(\omega_{IF} - \theta_{1})] - C[\frac{M(t)}{2}\sqrt{2\chi^{2}P_{M}P_{LO}}\sin(\omega_{IF} - \theta_{2})],$$

where  $\omega_{\text{IF}} = \omega_{\text{LO}} \cdot \omega_{\text{M}}$ . Similarly, the output current I<sub>2</sub>(t) from second photodiode 30 can be expressed as:

$$I_2(t) = C[E_{4H}^2(t) + E_{4V}^2(t)],$$

which may be rewritten as the following:

$$I_2(t) = C[\frac{P_{LO}}{2} + \frac{P_M}{2} - \frac{M(t)}{2}\sqrt{2(1-\chi^2)P_MP_{LO}} \sin(\omega_{IF}t - \theta_2)] + C[\frac{M(t)}{2}\sqrt{2\chi^2P_MP_{LO}} \sin(\omega_{IF}t - \theta_2)].$$

Photocurrents  $I_1(t)$  and  $I_2(t)$  are subsequently applied as separate inputs to a differential amplifier 32. Differential amplifier 32 functions to subtract second photocurrent  $I_2(t)$  from first current  $I_1(t)$  to provide as an output the balanced receiver current  $I_B(t)$ . That is:

$$I_{R}(t) = I_{1}(t) - I_{2}(t),$$

where substituting the relations for  $I_1(t)$  and  $I_2(t)$ ,  $I_R(t)$  can be rewritten as:

$$I_{B}(t) = CM(t)\sqrt{2P_{M}P_{LO}}[\sqrt{1-\chi^{2}}\sin(\omega_{IF}t-\theta_{1})-\sqrt{\chi^{2}}\sin(\omega_{IF}-\theta_{2})].$$

This expression for  $I_B(t)$  may be simplified by controlling the relationship between the terms  $\theta_1$  and  $\theta_2$ . In particular, a phase modulator may be used in conjunction with the optical hybrid to provide this control. Referring to the FIGURE, a phase modulator 34 is illustrated as inserted in the incoming signal path of received message signal  $E_M(t)$ . Alternatively, phase modulator 34 may be disposed along either waveguide 20 or 22. Phase modulator 34 is utilized to maintain a predetermined phase difference  $\Delta\theta$  between  $\theta_1$  and  $\theta_2$  (the slowly varying phase delays associated with the H and V polarizations of message signal  $E_M(t)$ , respectively). For example, phase modulator 34 may be used to provide a pre-

determined phase difference  $\Delta\theta$  of  $\frac{\pi}{2}$  such that  $\theta_2 \cong \theta_1 + \frac{\pi}{2}$ . Therefore, the relation for  $I_B(t)$  can be rewritten as follows:

$$I_{B}(t) = \frac{CM(t)}{2} \sqrt{2P_{M}P_{LO}} [\sqrt{1-\chi^{2}} \sin(\omega_{IF}t \cdot \theta_{1}) + \sqrt{\chi^{2}} \cos(\omega_{IF}t \cdot \theta_{1})],$$

since  $\sin (\theta_2) \cong \sin (\theta_1 + \frac{\pi}{2}) = -\cos (\theta_1)$ . Alternatively, phase difference  $\Delta \theta$  may be set at multiple values of  $(2k + 1)\frac{\pi}{2}$ ,

where k is a natural number, or any other suitable value capable of providing a well-controlled phase difference.

Referring to the FIGURE, current  $I_B(t)$  from amplifier 32 is subsequently applied to a delay means 36 which functions to square the balanced receiver current. In particular, current  $I_B(t)$  is split along two branches, where the current along one branch is delayed by a predetermined time period  $\tau$ . The delayed signal  $I_B(t-\tau)$  and signal  $I_B(t)$  are both applied as inputs to a multiplier 37 which then forms the output from delay means 36, denoted D'(t). Thus, D'(t) can be expressed as follows:

$$D'(t) = I_B(t)I_B(t-\tau).$$

Substituting for  $I_B(t)$  and  $I_B(t-\tau)$ , it can be shown that:

$$D'(t) = \frac{C^2 M(t) M(t - \tau)}{4} P_M P_{LO}[\cos(\omega_{IF} \tau)] + \frac{C^2 M(t) M(t - \tau)}{2} P_M P_{LO}[(\chi^2 - \frac{1}{2}) \cos(2\omega_{IF} t - \Omega) + \sqrt{\chi^2 (1 - \chi^2)} \sin(2\omega_{IF} t - \Omega)],$$

where  $\Omega=\omega_{IF}\tau+2\theta_1$ . At this point in the data recovery process, the signal D'(t) remains polarization dependent, due to the presence of  $^{\circ}\chi^{\circ}$  terms in the above relation. In accordance with the teachings of the present invention, the polarization dependence may be eliminated by removing the terms at the frequency  $2\omega_{IF}t-\Omega$ . Referring to the FIGURE, a filter 38 is coupled to the output of demodulating means 36. Filter 38 may be any suitable arrangement including, for example, a bandpass filter designed to pass only those frequencies near  $\omega_{IF}$ , or alternatively, a low pass filter capable of removing components near  $2\omega_{IF}$ . Depending upon the value of  $2\omega_{IF}$ , these higher-order harmonic terms may simply be ignored. In either case, the recovered data signal may be defined as

$$D(t) = \frac{C^2M(t)M(t-\tau)}{4}P_MP_{LO}cos(\omega_{|F}\tau)],$$

which in accordance with the teachings of the present invention is independent of  $\chi$ .

As mentioned above, the optical hybrid of the present invention may be formed with discrete components or, alternatively, formed as a monolithic structure. An embodiment utilizing discrete devices may include, for example, a beam splitting cube as polarization beam splitter 16. Polarization maintaining coupler 18 may comprise a fused fiber coupler, formed using polarization maintaining optical fiber. Additionally, interconnecting waveguides 20, 22, 24 and 26 may all comprise polarization maintaining fibers appropriately attached to the appropriate endfaces of the discrete devices. In a monolithic embodiment, the polarization beam splitter may comprise a device including a silicon substrate with appropriate waveguides formed therein. A polarization maintaining coupler may also be formed in such a substrate. Advantages with the latter embodiment in terms of, for example, size, stability, alignment, and cost are obvious.

It is to be understood that there exist a number of modifications to the above-described embodiments which are considered to fall within the scope of the present invention. In particular, another embodiment of the present invention may utilize an alternative demodulating arrangement including a single photodiode receiver, instead of the pair of photodiodes in the balanced receiver configuration of the FIGURE. Additionally, the present invention is not considered to be limited to systems utilizing DPSK modulation, since alternative signaling schemes, including but not limited to FSK (frequency-shift keyed) or ASK (amplitude-shift keyed) modulation, could also be utilized with the optical hybrid of the present invention. In particular, the use of FSK signaling would result in a received message signal  $E_M(t)$  of the form:

$$E_{M}(t) = \sqrt{2P_{M}} \cos((\omega_{M} + \Delta\omega)t,$$

where  $\Delta \omega$  =0 for a first logic value and is fixed at a constant for a second logic value. Alternatively, the use of ASK would result in a received message signal  $E_{M}(t)$  of the form:

$$E_{M}(t) = M(t) \sqrt{2P_{M}} \cos \omega_{M} t$$

where M(t)=0 for a first logic value and M(t)=M for a second logic value.

# Claims

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1. An optical hybrid for providing polarization independent signal recovery in a coherent lightwave detection system

# CHARACTERISED BY

polarization splitting means (16) responsive at a first input to a received message signal ( $E_M(t)$ ) and at a second, orthogonal input to a local oscillator signal ( $E_{LO}(t)$ ), the polarization splitting means for dividing each signal into first and second orthogonal components of first ( $E_{MH}(t)$ ,  $E_{LH}(t)$ ) and second ( $E_{MV}(t)$ ,  $E_{LV}(t)$ ) polarization states and forming first ( $E_1(t)$ ) and second ( $E_2(t)$ ) outputs of said polarization splitting means, each output comprising a sum of orthogonal components of each input signal; and

polarization maintaining combining means (18) disposed to receive as separate inputs the first and second outputs of the polarization splitting means and provide as the output of said optical hybrid a pair of combined signals ( $E_3(t)$ , $E_4(t)$ ), said pair of combined signals representative of orthogonal vector sums of the first and second outputs of said polarization splitting means.

- 2. An optical hybrid as defined in claim 1 wherein the hybrid further comprises
  - polarization maintaining waveguiding means (20,22) for interconnecting the first and second outputs of the polarization splitting means and the input of the polarization maintaining combining means.
- 3. An optical hybrid as defined in claim 2 wherein the polarization maintaining waveguiding means comprises polari-

zation maintaining optical fiber.

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- An optical hydrid as defined in claim 2 wherein the polarization maintaining waveguiding means comprises integrated
  optical waveguides.
- An optical hybrid as defined in any of the preceding claims wherein the hybrid further comprises polarization maintaining waveguiding means (24,26) coupled to the output of the polarization maintaining combining means for propagating the pair of combined signals to the output of the optical hybrid.
- 6. An optical hybrid as defined in claim 5 wherein the polarization maintaining waveguiding means coupled to the output of the combining means comprises polarization maintaining optical fiber.
  - An optical hybrid as defined in claim 5 wherein the polarization maintaining waveguiding means coupled to the output of the combining means comprises integrated optical waveguides.
  - 8. An optical hybrid as defined in any of the preceding claims further comprising phase modulating means (34) for controlling a polarization-dependent phase difference (Δθ) between the first and second components of the received message signal so as to maintain approximately a predetermined value.
- An optical hybrid as defined in claim 8 wherein the predetermined phase difference is controlled to be approximately
   π
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- 10. An optical hybrid as defined in claim 8 wherein the predetermined phase difference is controlled to be approximately  $\pi$ .
  - An optical hybrid as defined in claim 8 wherein the predetermined phase difference is controlled to be approximately
     0.
- 30 12. An optical hybrid as defined in any of the preceding claims wherein the polarization splitting means comprises a polarization beam splitting cube.
  - 13. An optical hybrid as defined in any of claims 1 to 11 wherein the polarization splitting means comprises an integrated polarization splitting means formed within an optical substrate.
  - 14. An optical hybrid as defined in any of the preceding claims wherein the polarization maintaining combining means comprises a fused fiber polarization maintaining optical coupler.
- 15. An optical hybrid as defined in any of claims 1 to 13 wherein the polarization maintaining combining means comprises
   40 an integrated polarization maintaining optical coupler formed within an optical substrate.
  - 16. An optical hybrid as defined in any of the preceding claims wherein the local oscillator signal is applied as a linearly polarized signal to the polarization splitting means.
- 45 17. An optical hybrid as defined in claim 16 wherein the local oscillator signal propagates along a polarization maintaining fiber which is coupled at an angle of approximately 45° to the polarization splitting means.
  - 18. An optical hybrid as defined in any of the preceding claims wherein:
- the polarization splitting means (16) is arranged to form said first (E<sub>1</sub>(t)) and second (E<sub>2</sub>(t)) outputs of said polarization splitting means in the form:

$$E_1(t)=E_{MH}(t)+E_{LV}(t),$$

and

 $E_2(t)=E_{LH}(t)+jE_{MV}(t);$ 

and

the polarization maintaining combining means (18) is arranged to provide said pair of combined signals

 $(E_3(t),E_4(t))$  in the form:

$$E_3(t) = \frac{E_1(t) + {}_jE_2(t),}{\sqrt{2}}$$

and

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$$E_4(t) = \frac{E_2(t) + i E_1(t)}{\sqrt{2}}$$

- 19. A polarization independent coherent lightwave detection arrangement capable of recovering a data signal (D(t)) from a received message signal (E<sub>M</sub>(t)) comprising an optical hybrid as defined in any of the preceding claims and a receiver (14) responsive to the pair of combined output signals from the polarization maintaining combining means for converting the optical signals into electrical representations thereof and combining said electrical representations to provide as an output the recovered data signal (D(t)).
  - A polarization independent coherent lightwave detection arrangement as defined in claim 19 wherein the receiver comprises
- first converting means responsive to a first optical signal of the pair of combined signal outputs from the optical hybrid for for providing as an output an electrical representation thereof; second converting means responsive to a second, remaining optical signal of the pair of combined signal outputs from said optical hybrid for providing as an output an electrical representation thereof; and demodulating means (32,36,38) responsive to the electrical outputs from the first and second converting means for providing a difference signal and squaring said difference signal to form as the detection arrangement output the recovered data signal (D(t)).
  - 21. A polarization independent coherent lightwave detection arrangement as defined in claim 20 wherein the demodulating means comprises
  - differential amplifying means (32) responsive to the outputs from the first and second converting means for generating the difference signal (I<sub>B</sub>(t)); a delay line (36) responsive to the difference signal for generating a delayed difference signal (I<sub>B</sub>(t-τ)); and multiplying means responsive to both the difference signal and the delayed difference signal, the multiplicative product being the square representation of the difference signal.
    - 22. A polarization independent coherent lightwave detection arrangement as defined in any of claims 19 to 21 wherein the receiver further comprises
      - filtering means (38) responsive to the output from the demodulating means for removing components of the recovered data signal at frequencies other than the difference frequency ( $\omega_{lF}$ ) between the local oscillator carrier frequency ( $\omega_{lO}$ ) and the message signal carrier frequency ( $\omega_{lO}$ ).
    - 23. A polarization independent coherent lightwave detection arrangement as defined in any of claims 19 to 22 wherein the received message signal is a DPSK modulated signal of the form:

$$E_{M}(t)=M(t)\sqrt{2P_{M}}\cos(\omega_{M}t),$$

and

$$E_{LO}(t) = \sqrt{P_{LO}\cos(\omega_{LO}t)}$$

## Patentansprüche

- 1. Optische Hybridschaltung zum Bereitstellen einer polarisationsunabhängigen Signalrückgewinnung bei einem kohärenten Lichtwellendemodulationssystem, gekennzeichnet durch:
  - ein polarisationsteilendes Mittel (16), das an einem ersten Eingang auf ein empfangenes Nachrichtensignal ( $E_m(t)$ ) und an einem zweiten, dazu orthogonalen Eingang auf ein Mischoszillatorsignal ( $E_{LO}(t)$ ) reagiert, wobei das polarisationsteilende Mittel zum Aufteilen jedes Signals in eine erste und zweite zueinander orthogonale

Komponente eines ersten ( $E_{MH}(t)$ ,  $E_{LH}(t)$ ) und zweiten ( $E_{MV}(t)$ ,  $E_{LV}(t)$ ) Polarisationszustands ein erstes ( $E_1(t)$ ) und zweites ( $E_2(t)$ ) Ausgangssignal des besagten polarisationsteilenden Mittels bildet und wobei jedes Ausgangssignal eine Summe zueinander orthogonaler Komponenten jedes Eingangssignals umfaßt; und ein polarisationserhaltendes Kombiniermittel (18), das angeordnet ist, um das erste und zweite Ausgangssignal des polarisationsteilenden Mittels als getrennte Eingangssignale zu empfangen, und als Ausgangssignal der besagten optischen Hybridschaltung ein Paar kombinierter Signale ( $E_3(t)$ ,  $E_4(t)$ ) bereitstellt, wobei besagtes Paar kombinierter Signale Summen orthogonaler Vektoren des ersten und zweiten Ausgangssignals des besagten polarisationsteilenden Mittels darstellt.

Optische Hybridschaltung nach Anspruch 1, wobei die Hybridschaltung weiterhin
ein polarisationserhaltendes Wellenleitmittel (20, 22) zum Verbinden des ersten und zweiten Ausgangs des
polarisationsteilenden Mittels mit dem Eingang des polarisationserhaltenden Kombiniermittels umfaßt.

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- Optische Hybridschaltung nach Anspruch 2, wobei das polarisationserhaltende Wellenleitmittel eine polarisationserhaltende Lichtleitfaser umfaßt.
  - Optische Hybridschaltung nach Anspruch 2, wobei das polarisationserhaltende Wellenleitmittel integrierte optische Wellenleiter umfaßt.
- Optische Hybridschaltung nach einem der vorhergehenden Ansprüche, wobei die Hybridschaltung weiterhin an den Ausgang des polarisationserhaltenden Kombiniermittels angeschlossene polarisationserhaltende Wellenleitmittel (24, 26) zum Fortpflanzen des Paares kombinierter Signale zum Ausgang der optischen Hybridschaltung umfaßt.
- 25 6. Optische Hybridschaltung nach Anspruch 5, wobei das an den Ausgang des Kombiniermittels angeschlossene polarisationserhaltende Wellenleitmittel eine polarisationserhaltende Lichtleitfaser umfaßt.
  - Optische Hybridschaltung nach Anspruch 5, wobei das an den Ausgang des Kombiniermittels angeschlossene polarisationserhaltende Wellenleitmittel integrierte optische Wellenleiter umfaßt.
  - 8. Optische Hybridschaltung nach einem der vorhergehenden Ansprüche, die weiterhin
    - ein phasenmodulierendes Mittel (34) zum Steuern einer polarisationsabhängigen Phasendifferenz (Δθ) zwischen der ersten und zweiten Komponente des empfangenen Nachrichtensignals umfaßt, um einen vorbestimmten Wert ungefähr beizubehalten.
  - Optische Hybridschaltung nach Anspruch 8, wobei die vorbestimmte Phasendifferenz so gesteuert wird, daß sie ungefähr π/2 beträgt.
- 40 10. Optische Hybridschaltung nach Anspruch 8, wobei die vorbestimmte Phasendifferenz so gesteuert wird, daß sie ungefähr π beträgt.
  - 11. Optische Hybridschaltung nach Anspruch 8, wobei die vorbestimmte Phasendifferenz so gesteuert wird, daß sie ungefähr 0 beträgt.
  - 12. Optische Hybridschaltung nach einem der vorhergehenden Ansprüche, wobei das polarisationsteilende Mittel einen Polarisationsstrahlteilungswürfel umfaßt.
- 13. Optische Hybridschaltung nach einem der Ansprüche 1 bis 11, wobei das polarisationsteilende Mittel ein innerhalb50 eines optischen Substrats gebildetes integriertes polarisationsteilendes Mittel umfaßt.
  - 14. Optische Hybridschaltung nach einem der vorhergehenden Ansprüche, wobei das polarisationserhaltende Kombiniermittel ein polarisationserhaltendes optisches Kopplungsglied mit verschweißten Fasem umfaßt.
- 55 15. Optische Hybridschaltung nach einem der Ansprüche 1 bis 13, wobei das polarisationserhaltende Kombiniermittel einen innerhalb eines optischen Substrats gebildeten integrierten polarisationserhaltenden optischen Koppler umfaßt.

- 16. Optische Hybridschaltung nach einem der vorhergehenden Ansprüche, wobei das Mischoszillatorsignal als ein linear polarisiertes Signal an das polarisationsteilende Mittel angelegt wird.
- 17. Optische Hybridschaltung nach Anspruch 16, wobei das Mischoszillatorsignal sich entlang einer polarisationserhaltenden Faser fortpflanzt, die unter einem Winkel von ungefähr 45° an das polarisationsteilende Mittel angekoppelt ist.
  - 18. Optische Hybridschaltung nach einem der vorhergehenden Ansprüche, wobei:

das polarisationsteilende Mittel (16) angeordnet ist, um besagtes erstes (E<sub>1</sub>(t)) und zweites (E<sub>2</sub>(t)) Ausgangssignal des besagten polarisationsteilenden Mittels in folgender Form zu bilden:

$$E_1(t)=E_{MH}(t)+E_{LV}(t)$$

und

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$$E_2(t)=E_{1H}(t)+jE_{MV}(t);$$

und

das polarisationserhaltende Kombiniermittel (18) angeordnet ist, um besagtes Paar kombinierter Signale (E<sub>3</sub> (t), E<sub>4</sub>(t)) in folgender Form bereitzustellen:

 $E_3(t) = \frac{E_1(t) + {}_jE_2(t)}{\sqrt{2}}.$ 

und

$$E_4(t) = \frac{E_2(t) + {}_jE_1(t)}{\sqrt{2}}.$$

19. Polarisationsunabhängige kohärente Lichtwellendemodulationsanordnung, die aus einem empfangenen Nachrichtensignal (E<sub>M</sub>(t)) ein Datensignal (D(t)) rückgewinnen kann und eine optische Hybridschaltung nach einem der vorhergehenden Ansprüche und

einen Empfänger (14) umfaßt, der auf das Paar kombinierter Ausgangssignale von dem polarisationserhaltenden Mittel reagiert, um die optischen Signale in elektrische Darstellungen davon umzusetzen und besagte elektrische Darstellungen zu kombinieren, um so das rückgewonnene Datensignal (D(t)) als Ausgangssignal bereitzustellen.

20. Polarisationsunabhängige kohärente Lichtwellendemodulationsanordnung nach Anspruch 19, wobei der Empfänger folgendes umfaßt:

ein erstes Umsetzmittel, das auf ein erstes optisches Signal des Paars kombinierter Ausgangssignale von der optischen Hybridschaltung reagiert, um eine elektrische Darstellung davon als Ausgangssignal bereitzustellen; ein zweites Umsetzmittel, das auf ein zweites, verbleibendes optisches Signal des Paars kombinierter Ausgangssignale von der besagten optischen Hybridschaltung reagiert, um eine elektrische Darstellung davon als Ausgangssignal bereitzustellen; und

Demodulationsmittel (32, 36, 38), die auf die elektrischen Ausgangssignale von dem ersten und zweiten Umsetzmittel reagieren, um ein Differenzsignal bereitzustellen und besagtes Differenzsignal zu quadrieren, damit es das rückgewonnene Datensignal (D(t)) als das Ausgangssignal der Demodulationsanordnung bildet.

21. Polarisationsunabhängige kohärente Lichtwellendemodulationsanordnung nach Anspruch 20, wobei das Demodulationsmittel folgendes umfaßt:

ein Differentialverstärkermittel (32), das auf die Ausgangssignale von dem ersten und zweiten Umsetzmittel reagiert, um das Differenzsignal ( $I_B(t)$ ) zu erzeugen;

eine auf das Differenzsignal reagierende Verzögerungsleitung (36) zum Erzeugen eines verzögerten Differenzsignals ( $I_B(t-\tau)$ ); und

ein sowohl auf das Differenzsignal als auch das verzögerte Differenzsignal reagierendes Multipliziermittel, wobei das multiplikative Produkt die Quadratdarstellung des Differenzsignals ist.

22. Polarisationsunabhängige kohärente Lichtwellendemodulationsanordnung nach einem der Ansprüche 19 bis 21,

wobei der Empfänger weiterhin

ein auf das Ausgangssignal von dem Demodulationsmittel reagierendes Filtermittel (28) zum Entfernen von Komponenten des rückgewonnenen Datensignals bei Frequenzen umfaßt, die von der Differenzfrequenz ( $\omega_{LO}$ ) des Mischoszillators und der Trägerfrequenz ( $\omega_M$ ) des Nachrichtensignals verschieden sind.

23. Polarisationsunabhängige kohärente Lichtwellendemodulationsanordnung nach einem der Ansprüche 19 bis 22, wobei es sich bei dem empfangenen Nachrichtensignal um ein mit Phasendifferenzmodulation moduliertes Signal folgender Form handelt:

$$E_{M}(t)=M(t)\sqrt{2P_{M}}\cos(\omega_{M}t),$$

und

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$$E_{LO}(t) = \sqrt{P_{LO}\cos(\omega_{LO}t)}$$
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Revendications

 Hybride optique pour assurer le recouvrement d'un signal indépendamment de la polarisation dans un système de détection d'onde lumineuse cohérente

CARACTÉRISÉ PAR

des moyens de séparation de polarisation (16) qui répondent au signal d'un message reçu  $(E_M(t))$  sur une première entrée et au signal d'un oscillateur local  $(E_{LO}(t))$  sur une seconde entrée orthogonale, les moyens de séparation de polarisation divisant chaque signal en des première et seconde composantes orthogonales de premiers  $(E_{MH}(t), E_{LH}(t))$  et seconds  $(E_{MV}(t), E_{LV}(t))$  états de polarisation et formant les première  $(E_1(t))$  et seconde  $(E_2(t))$  sorties desdits moyens de séparation de polarisation, chaque sortie étant constituée de la somme des composantes orthogonales de chaque signal d'entrée ; et

des moyens de combinaison qui conservent la polarisation (18) disposés pour recevoir sur des entrées séparées la première et la seconde sorties des moyens de séparation de polarisation et pour foumir en sortie dudit hybride optique, une paire de signaux combinés (E<sub>3</sub>(t), E<sub>4</sub>(t)), ladite paire de signaux combinés représentant les sommes des vecteurs orthogonaux des première et seconde sorties desdits moyens de séparation de polarisation.

2. Hybride optique comme défini dans la revendication 1 dans lequel l'hybride comporte de plus

des moyens de guidage de l'onde qui conservent la polarisation (20, 22) pour interconnecter les première et seconde sorties des moyens de séparation de la polarisation avec les entrées des moyens de combinaison qui conservent la polarisation.

- 3. Hybride optique comme défini dans la revendication 2 dans lequel les moyens de guidage de l'onde qui conservent la polarisation comportent des fibres optiques qui conservent la polarisation.
  - 4. Hybride optique comme défini dans la revendication 2 dans lequel les moyens de guidage de l'onde qui conservent la polarisation comportent des guides d'ondes optiques intégrés.
- 45 5. Hybride optique comme défini dans l'une quelconque des revendications précédentes dans lequel l'hybride comporte de plus

des moyens de guidage de l'onde qui conservent la polarisation (24, 26) et qui sont couplés à la sortie des moyens de combinaison qui conservent la polarisation, pour propager la paire de signaux combinés vers la sortie de l'hybride optique.

6. Hybride optique comme défini dans la revendication 5 dans lequel les moyens de guidage de l'onde qui conservent la polarisation et qui sont couplés à la sortie des moyens de combinaison comportent des fibres optiques qui conservent la polarisation.

7. Hybride optique comme défini dans la revendication 5 dans lequel les moyens de guidage de l'onde qui conservent la polarisation et qui sont couplés à la sortie des moyens de combinaison comportent des guides d'ondes optiques intégrés.

- 8. Hybride optique comme défini dans l'une quelconque des revendications précédentes qui comporte de plus des moyens de modulation de phase (34) pour contrôler la différence de phase, dépendant de la polarisation (ΔΘ), entre la première et la seconde composante du signal de message reçu de manière à maintenir approximativement une valeur prédéterminée.
- Hybride optique comme défini dans la revendication 8 dans lequel la différence de phase prédéterminée est contrôlée pour être approximativement π/2.
- 10. Hybride optique comme défini dans la revendication 8 dans lequel la différence de phase prédéterminée est contrôlée pour être approximativement π.
  - Hybride optique comme défini dans la revendication 8 dans lequel la différence de phase prédéterminée est contrôlée pour être approximativement 0.
- 12. Hybride optique comme défini dans l'une quelconque des revendications précédentes dans lequel les moyens de séparation de polarisation comportent un cube de séparation de polarisation du faisceau.
  - 13. Hybride optique comme défini dans l'une quelconque des revendications 1 à 11 dans lequel les moyens de séparation de polarisation comportent un moyen de séparation de polarisation intégré réalisé à l'intérieur d'un substrat optique.
  - 14. Hybride optique comme défini dans l'une quelconque des revendications précédentes dans lequel les moyens de combinaison qui conservent la polarisation comportent un coupleur optique qui conserve la polarisation constitué d'une fibre fondue.
  - 15. Hybride optique comme défini dans l'une quelconque des revendications 1 à 13 dans lequel les moyens de combinaison qui conservent la polarisation comportent un coupleur optique intégré qui conserve la polarisation réalisé à l'intérieur d'un substrat optique.
- 30 16. Hybride optique comme défini dans l'une quelconque des revendications précédentes dans lequel le signal de l'oscillateur local est appliqué aux moyens de séparation de polarisation sous la forme d'un signal polarisé linéairement.
- 17. Hybride optique comme défini dans la revendication 16 dans lequel le signal de l'oscillateur local se propage le long d'une fibre qui conserve la polarisation et qui est couplée avec un angle d'approximativement 45° aux moyens de séparation de polarisation.
  - 18. Hybride optique comme défini dans l'une quelconque des revendications précédentes dans lequel :
- les moyens de séparation de polarisation (16) sont disposés de manière à former lesdites première (E<sub>1</sub>(t)) et seconde (E<sub>2</sub>(t)) sorties des dits moyens de séparation de polarisation de la forme :

$$\mathsf{E}_1(\mathsf{t}) = \mathsf{E}_{\mathsf{MH}}(\mathsf{t}) + \mathsf{E}_{\mathsf{LV}}(\mathsf{t}),$$

et

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$$E_2(t) = E_{LH}(t) + jE_{MV}(t);$$

et

les moyens de combinaison qui conservent la polarisation (18) sont disposés pour fournir ladite paire de signaux combinés  $(E_3(t), E_4(t))$  de la forme :

$$E_3(t) = [E_1(t) + E_2(t)] / 2$$

et

$$E_4(t) = [E_2(t) + E_1(t)] / 2.$$

19. Ensemble de détection d'onde lumineuse cohérente indépendant de la polarisation capable de recouvrer un signal de données (D(t)) à partir d'un signal de message reçu (E<sub>M</sub>(t)) comportant un hybride optique comme défini dans l'une quelconque des revendications précédentes et

un récepteur (14) répondant à la paire de signaux de sortie combinés issus des moyens de combinaison qui conservent la polarisation pour convertir les signaux optiques en leur représentations électriques et pour combiner les dites représentations électriques pour fournir en sortie le signal de données recouvré (D(t)).

5 20. Ensemble de détection d'onde lumineuse cohérente indépendant de la polarisation comme défini dans la revendication 19 dans lequel le récepteur comporte

des premiers moyens de conversion répondant à un premier signal optique de la paire de sorties de signaux combinés issus de l'hybride optique pour en foumir en sortie une représentation électrique; des seconds moyens de conversion répondant à un second signal optique restant de la paire de sorties de signaux combinés issus dudit hybride optique pour en foumir en sortie une représentation électrique; et des moyens de démodulation (32, 36, 38) répondant aux sorties électriques des premiers et des seconds moyens de conversion pour foumir un signal de différence et pour élever au carré ledit signal de différence pour former en sortie de l'ensemble de détection le signal de données recouvré (D(t)).

21. Ensemble de détection d'onde lumineuse cohérente indépendant de la polarisation comme défini dans la revendication 20 dans lequel les moyens de démodulation comportent

des moyens amplificateurs différentiels (32) répondant aux sorties des premiers et des seconds moyens de conversion pour générer le signal de différence (I<sub>B</sub>(t)); une ligne à retard (36) répondant au signal de différence pour générer un signal de différence retardé (I<sub>B</sub>(t-τ)); et des moyens de multiplication répondant à la fois au signal de différence et au signal de différence retardé, le produit de la multiplication étant la représentation au carré du signal de différence.

- 22. Ensemble de détection d'onde lumineuse cohérente indépendant de la polarisation comme défini dans l'une quelconque des revendications 19 à 21 dans lequel le récepteur comporte de plus des moyens de filtrage (38) répondant à la sortie des moyens de démodulation pour éliminer des composantes du signal de données recouvré aux fréquences autres que la fréquence de différence (ω<sub>IF</sub>) entre la fréquence de la porteuse de l'oscillateur local (ω<sub>LO</sub>) et la fréquence de la porteuse du signal du message (ω<sub>M</sub>).
  - 23. Ensemble de détection d'onde lumineuse cohérente indépendant de la polarisation comme défini dans l'une quelconque des revendications 19 à 22 dans lequel le signal de message reçu est un signal modulé en DPSK de la forme :

$$\mathsf{E}_{\mathsf{M}}(t) = \mathsf{M}(t) \sqrt{2\mathsf{P}_{\mathsf{M}}} .\mathsf{cos}(\omega_{\mathsf{M}} t),$$

et

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$$E_{LO}(t) = \sqrt{P_{LO}} .\cos(\omega_{LO}t).$$

